

## Permutation Basics

Jonathan L.F. King  
 University of Florida, Gainesville FL 32611-2082, USA  
 squash@ufl.edu  
 Webpage <http://squash.1gainesville.com/>  
 22 October, 2023 (at 10:05)

*Please learn pages 1–3.*

### Permutations

On a set  $\Omega$ , a bijection  $\pi: \Omega \rightarrow \Omega$  is also called a “**permutation** of  $\Omega$ ”. Use **perm** to abbrev. “permutation”. A **token** is an element  $x \in \Omega$ . Use **Id $_{\Omega}$**  for the identity perm,  $x \mapsto x$ .

**Composition.** It will be convenient to have symbols for composition in *both* directions. Use  $\triangleleft$  as a synonym of  $\circ$ . Thus

1a: Both  $[\alpha \triangleright \beta](x)$  and  $[\beta \triangleleft \alpha](x)$  mean  $\beta(\alpha(x))$ .

[Think of the triangle as *pointing* in the direction of data-flow.] Use  $\beta^{\circ n}$  for “the  $n^{\text{th}}$ -**composition-power** of  $\beta$ ”. E.g

$$1b: \quad \beta^{\circ 3}(x) = \beta(\beta(\beta(x))),$$

and  $\beta^{\circ -1}$  is the **inverse function** of  $\beta$ , which we will usually just write as  $\beta^{-1}$ . When composition is understood, we will write  $\beta^3$  rather than  $\beta^{\circ 3}$ .

**The  $\mathbb{S}_{\Omega}$  group.** The set all permutations on  $\Omega$  is “the **symmetric group** on  $\Omega$ ”, written  $\mathbb{S}_{\Omega}$ .

DEFN: We will view permutation-composition as going *L-to-R*; permutation  $\alpha\beta$  is  $\alpha \triangleright \beta$ , first applying  $\alpha$ , then  $\beta$ . So  $[\alpha\beta](x)$  is  $\beta(\alpha(x))$ .

Hence triple  $(\mathbb{S}_{\Omega}, \triangleright, \text{Id}_{\Omega})$  is a group.

NOTE: Our *L-to-R* convention for permutations is the *opposite* of Gallian’s textbook, but *agrees* with the convention used in Prof. Miklos Bona’s Combinatorics text, which you may be using next semester.

**Orbits.** For  $\beta \in \mathbb{S}_{\Omega}$ , “the  $\beta$ -**orbit** of token  $x$ ” is the set

$$\mathcal{O}_{\beta}(x) := \{\beta^{\circ k}(x) \mid k \in \mathbb{Z}\},$$

together with the information that  $\beta$  maps  $\beta^{\circ k}(x)$  to  $\beta^{\circ [k+1]}(x)$ . A  $\beta$ -orbit is either *finite* [a  $K$ -cycle for some posint  $K$ ], or is *infinite*, and is thus a copy of

the **add-one** function mapping  $\mathbb{Z} \rightarrow \mathbb{Z}$ . This last is an “ $\infty$ -cycle”, as “cycle” has come to mean ‘generated by a single element’, in various branches of algebra.

**Henceforth**, the token-set is *finite*, of cardinality  $N := |\Omega|$ . Further, writing the symmetric group as  $\mathbb{S}_N$  shall mean that  $\Omega$  is  $[1..N]$  or  $[0..N]$ .  $\square$

**Cycle-structure.** Consider the following shuffle,  $\pi$ , of an Ace-through-King suit,  $\Omega$ . Our  $\pi$  goes from the std order [top line], to the order in the bottom line:

A	2	3	4	5	6	7	8	9	T	J	Q	K
9	T	3	Q	A	7	4	6	5	J	K	8	2

This is called “the **two-line** presentation of  $\pi$ ”. [If the std token-order were understood, then just the bottom line could be shown; the **one-line** presentation of  $\pi$ .] Here, the tokens are the thirteen cards.

The **cycle-structure** of  $\pi$  is a listing of all its cycles. Note that  $\pi$  maps A  $\rightarrow$  9  $\rightarrow$  5  $\rightarrow$  A; this is a 3-cycle, which I write as  $\langle A \ 9 \ 5 \rangle$ . [For emphasis or clarity, I might write it as  $\langle A \rightarrow 9 \rightarrow 5 \rangle$  or, more typically,  $\langle A, 9, 5 \rangle$ .]

This *same cycle* could be written as  $\langle 9 \ 5 \ A \rangle$  or as  $\langle 5 \ A \ 9 \rangle$ . Notice, however, that  $\langle 5 \ 9 \ A \rangle$  is a *different* cycle; indeed,  $\pi(5)$  is *not* 9.

So the **cycle-structure** of  $\pi$  is

$$2a: \quad \pi = \langle 3 \rangle \langle A \ 9 \ 5 \rangle \langle 2 \ T \ J \ K \rangle \langle 4 \ Q \ 8 \ 6 \ 7 \rangle.$$

**Disjoint Cycle Notation [DCN].** In (2a), the cycles are *disjoint*; no token occurs in more than one cycle. Listing the cycles from left-to-right, first the **1-cycles** [if any], then the **2-cycles**, etc. is an instance of **DCN**, *disjoint cycle-notation*. The notation is not unique; e.g, the multiple same-length cycles could be listed in any order. Moreover, a cycle could be written starting with any of its tokens. [See CCN, below]. In DCN, if the token-set is understood, one may omit writing the **1-cycles**, e.g, our (2a) could be abbreviated as

$$2b: \quad \pi = \langle A \ 9 \ 5 \rangle \langle 2 \ T \ J \ K \rangle \langle 4 \ Q \ 8 \ 6 \ 7 \rangle.$$

However, a **full-DCN** means to list all cycles, including the **1-cycles**.

**Canonical Cycle Notation [CCN].** When the token set has a total-order, e.g.  $[1..N]$  or  $\{a, b, c, d\}$ , then we can use *CCN, canonical cycle-notation*:

i: Write each cycle with its *largest* token first.

ii: List cycles L-to-R with first-tokens increasing.

For example, permutation  $\tau$  on ten tokens is

$$\dagger: \quad \begin{array}{cccccccccc} 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \\ 3 & 8 & 0 & 4 & 2 & 7 & 6 & 5 & 9 & 1 \end{array}$$

It has four cycles; one is  $\langle 8 9 1 \rangle$ ; however CCN requires the largest token first, so we write it as  $\langle 9 1 8 \rangle$ . The other cycles are  $\langle 6 \rangle$  and  $\langle 4 2 0 3 \rangle$  and  $\langle 7 5 \rangle$ . Initial tokens are  $9, 6, 4, 7$ ; we put these in increasing order as  $4 < 6 < 7 < 9$ . Thus

$$\dagger: \quad \text{CCN}(\tau) = \langle 4 2 0 3 \rangle \langle 6 \rangle \langle 7 5 \rangle \langle 9 1 8 \rangle.$$

CCN requires all cycles, *including* 1-cycles, be listed.

What is the CCN of  $\tau^{-1}$ ? To invert a DCN simply reverses the order in each cycle; so a DCN of  $\tau^{-1}$  is

$$\langle 3 0 2 4 \rangle \langle 6 \rangle \langle 5 7 \rangle \langle 8 1 9 \rangle.$$

But CCN needs each cycle to start with its largest token. So

$$\ddagger: \quad \text{CCN}(\tau^{-1}) = \langle 4 3 0 2 \rangle \langle 6 \rangle \langle 7 5 \rangle \langle 9 8 1 \rangle.$$

**Cycle-signature [CySig].** The *cycle-signature* of a permutation, lists the number of cycles of each length, from shortest to longest, with the “exponent” showing *how many* cycles of that length. For  $\tau$  above,  $\text{CySig}(\tau) = [1^1, 2^1, 3^1, 4^1]$ . A more interesting example is perm  $\pi$  from (2a).  $\text{CySig}(\pi)$  equals

$$2c: \quad [1^2, 3^1, 4^2] \stackrel{\text{note}}{=} [1^2, 2^0, 3^1, 4^2, 5^0 \dots],$$

since  $\pi$  has two 1-cycles, one 3-cycle, and two 4-cycles.

Each perm  $\nu$  has  $\text{CySig}(\nu^{-1}) = \text{CySig}(\nu)$ .

**Composing perms.** In  $\mathbb{S}_7$ , consider  $\alpha := \langle 1 4 6 2 7 \rangle$  [omitting the 1-cycles] and  $\beta := \langle 2 6 \rangle \langle 5 4 7 \rangle$ . We seek to write  $\alpha\beta$  [recall, our perm-composition is L-to-R] in CCN. Tracing tokens,

$$\begin{array}{ccccccccc} \langle 1 4 6 2 7 \rangle & & \langle 2 6 \rangle & & \langle 5 4 7 \rangle & & & & \\ \begin{array}{c} 1 \\ 7 \end{array} \xrightarrow{\hspace{1cm}} & 4 & \xrightarrow{\hspace{1cm}} & 4 & \xrightarrow{\hspace{1cm}} & 7 & ; \\ \begin{array}{c} 7 \\ 1 \end{array} \xrightarrow{\hspace{1cm}} & 1 & \xrightarrow{\hspace{1cm}} & 1 & \xrightarrow{\hspace{1cm}} & 1 & . \\ \begin{array}{c} 2 \\ 5 \\ 4 \end{array} \xrightarrow{\hspace{1cm}} & 7 & \xrightarrow{\hspace{1cm}} & 7 & \xrightarrow{\hspace{1cm}} & 5 & ; \\ \begin{array}{c} 5 \\ 4 \end{array} \xrightarrow{\hspace{1cm}} & 5 & \xrightarrow{\hspace{1cm}} & 5 & \xrightarrow{\hspace{1cm}} & 4 & ; \\ \begin{array}{c} 4 \\ 3 \end{array} \xrightarrow{\hspace{1cm}} & 6 & \xrightarrow{\hspace{1cm}} & 2 & \xrightarrow{\hspace{1cm}} & 2 & . \\ \begin{array}{c} 3 \\ 6 \end{array} \xrightarrow{\hspace{1cm}} & 3 & \xrightarrow{\hspace{1cm}} & 3 & \xrightarrow{\hspace{1cm}} & 3 & . \\ \begin{array}{c} 6 \\ 2 \end{array} \xrightarrow{\hspace{1cm}} & 2 & \xrightarrow{\hspace{1cm}} & 6 & \xrightarrow{\hspace{1cm}} & 6 & . \end{array}$$

yields a 2-cycle, 3-cycle, and two 1-cycles. Hence

$$\text{CCN}(\alpha\beta) = \langle 3 \rangle \langle 5 4 2 \rangle \langle 6 \rangle \langle 7 1 \rangle.$$

## Sign of a permutation

Several of the above concepts extend to permutations on an  $\infty$  token-set, but the *sign* of a permutation is only defined for finite<sup>♡1</sup> permutations. For a perm  $\beta$ :

#Ev( $\beta$ ) counts the # of even-length  $\beta$ -cycles.  
 3: #Od( $\beta$ ) counts the number of odd-length cycles.  
 Let #All( $\beta$ ) := #Ev( $\beta$ ) + #Od( $\beta$ ).

For (2a), then, #All( $\pi$ ) = 5 and #Ev( $\pi$ ) = 2.

The *sign* of finite permutation  $\beta$  is

$$3': \text{Sgn}(\beta) := [-1]^{\# \text{Ev}(\beta)}.$$

Perm  $\beta$  is *even* [ $\text{Sgn}(\beta) = +1$ ], or *odd* [ $\text{Sgn}(\beta) = -1$ ], depending on whether #Ev( $\beta$ ) is even or odd.

A *transposition* is a permutation comprised of a single 2-cycle; its CySig is  $[1^{[N-2]}, 2^1]$ .

Every permutation on a [finite] token-set is a composition<sup>♡2</sup> of transpositions.

The goal of the section to follow, is to prove this important theorem.

4: **Perm-sign thm.** For permutations  $\alpha, \beta \in \mathbb{S}_\Omega$  on a finite token-set:  $\text{Sgn}(\alpha\beta) = \text{Sgn}(\alpha) \cdot \text{Sgn}(\beta)$  and  $\text{Sgn}(\alpha^{-1}) = [\text{Sgn}(\alpha)]^{-1} \stackrel{\text{note}}{=} \text{Sgn}(\alpha)$ .

Consequently, Sgn is a group-homomorphism from  $(\mathbb{S}_\Omega, \triangleright, \text{Id}_\Omega)$  to  $(\{\pm 1\}, \cdot, 1)$ .  $\diamond$

We'll prove this in class on Wedn., 05Oct.

<sup>♡1</sup>More generally, for permutations of *finite support*.

<sup>♡2</sup>If perm  $\beta$  fixes every token then  $\beta$  is the empty composition. Else there is a token  $x$  such that  $y := \beta(x) \neq x$ ; so composition  $\beta \triangleright \langle y, x \rangle$  fixes at least one more token than did  $\beta$ , hence is a composition of transpositions.