

Generating Function examples

Jonathan L.F. King
 University of Florida, Gainesville FL 32611-2082, USA
 squash@ufl.edu
 Webpage <http://squash.1gainesville.com/>
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Tools. Use GF for “generating function”, and OGF/EGF for “Ordinary/Exponential GF”. We derived in class, the following:

$$1.1: \quad \frac{1}{1-x} = \sum_{n=0}^{\infty} x^n.$$

$$1.2: \quad \frac{1}{[1-x]^2} = \sum_{k=0}^{\infty} [k+1] \cdot x^k.$$

More generally, for L a posint,

$$1.3: \quad \frac{1}{[1-x]^L} = \sum_{k=0}^{\infty} \binom{k+L-1}{L-1} \cdot x^k.$$

$$1.4: \quad \log\left(\frac{1}{1-x}\right) = \sum_{k=1}^{\infty} \frac{1}{k} \cdot x^k.$$

Consider $A(x) \xrightarrow{\text{OGF}} \vec{a}$ and $B(x) \xrightarrow{\text{OGF}} \vec{b}$. Recall that product $A(x)B(x)$ is the OGF of $\vec{c} := \vec{a} \circledast \vec{b}$, the **convolution** of \vec{a} with \vec{b} , where

$$1.5: \quad c_n := \sum_{j,k} [a_j \cdot b_k],$$

where (j, k) ranges over all ordered-pairs of natnums with $j+k = n$. As a special case, note $\vec{a} \circledast (1, 1, \dots)$ is the partial-sum seq \vec{c} , where $c_n = \sum_{j=0}^n a_j$.

Unless mentioned otherwise, the following problems are from Bona's text.

2.1: #48^P207. Let g_n be the number of (combinatorial) simple graphs on $[1..n]$ in which each vertex has degree 2. With $G(x) \xrightarrow{\text{EGF}} \vec{g}$, prove that

$$G(x) = \frac{1}{\sqrt{1-x}} \cdot e^{-\frac{x}{2} - \frac{x^2}{4}}. \quad \diamond$$

Soln. On a k -set, let a_k be the number of cyclic simple graphs using all k of the vertices. So $a_0 = a_1 = a_2 = 0$ (The $a_0 = 0$ needs comment). For $k \geq 3$, there are $[k-1]!$ circular permutations ♦

3.1: #43^P176 (People). Let q_n be the number of ways of partitioning n people into groups labeled “E”, “D” and “A”, and asking each group to form a line, where group E has an even number of folk, group D oddly many, and group A has an arbitrary number of people. Get a closed-formula for q_n . ♦

Ans. We'll give an answer first, then show three derivations. The N^{th} **triangular number** is

$$\tau_N := \sum_{k=1}^N k = \frac{1}{2} \cdot N[N+1].$$

Define a sequence $\vec{s} = (s_0, s_1, \dots)$ by

$$3.2: \quad \vec{s} = (\tau_0, \tau_1, \tau_1, \tau_2, \tau_2, \tau_3, \tau_3, \tau_4, \tau_4, \dots).$$

Then, for each natnum n ,

$$3.3: \quad q_n = n! \cdot s_n. \quad \square$$

1st Soln. For the three groups, the corresponding EGFs are

$$\begin{aligned} A(x) &:= \sum_{n=0}^{\infty} \frac{n!}{n!} \cdot x^n \quad \xrightarrow{\text{note}} \frac{1}{1-x}; \\ E(x) &:= \sum_{j=0}^{\infty} 1 \cdot x^{2j} \quad \xrightarrow{\text{note}} \frac{1}{1-x^2}; \\ D(x) &:= \sum_{k=0}^{\infty} 1 \cdot x^{2k+1} \quad \xrightarrow{\text{note}} \frac{x}{1-x^2}. \end{aligned}$$

Hence the EGF for \vec{q} is $Q := A \cdot E \cdot D$. I.e

$$3.4: \quad Q(x) = \frac{x}{[1-x^2]^2} \cdot \frac{1}{1-x}.$$

This RhS(3.4) is the OGF of some sequence \vec{s} . Tool (1.2) says $\frac{1}{[1-y]^2} \xrightarrow{\text{OGF}} (1, 2, 3, \dots)$. Hence

$$(0, 1, 0, 2, 0, 3, 0, 4, 0, \dots) \xrightarrow{\text{OGF}} \frac{x}{[1-x^2]^2}.$$

Convolving this sequence with

$$(1, 1, 1, \dots) \xrightarrow{\text{OGF}} \frac{1}{1-x}$$

forms the partial sums of $(0, 1, 0, 2, \dots)$. Hence (3.2). Finally, $Q(x)$ is the EGF of \vec{q} , whence (3.3). ♦

2nd Soln. This problem is a fancier version of #14^P176, the bookshelf. Make a line of books by choosing one of the $n!$ orderings. Let s_n be the number of ways of:

Taking some even number from the left of the line, and putting them on shelf “E”, then taking some oddly many from the left of what remains, and putting that on shelf “D”.

Hence (3.3), and we just need to compute \vec{s} .

In the plane, consider the triangle of lattice-points,

$$\Omega_n := \left\{ (x, y) \in \mathbb{N} \times \mathbb{N} \mid x+y \leq n \right\}.$$

Interpret a point $(\varepsilon, \delta) \in \Omega_n$ as putting ε many books on “E”, then δ many books on “D”, finally $n - [\varepsilon + \delta]$ many books on the “Arbitrary” shelf. If ε is even and δ odd, then this is a valid placement. Thus

3.5: *Our s_n is the number of (Even, Odd) points in the Ω_n lattice-triangle.*

Approximately half have even x -coordinate, about half have odd y , and these two events are more-or-less independent. Conclusion: s_n is approx $\frac{n^2}{2}/4$. Also, a valid (ε, δ) has $\varepsilon + \delta$ odd. E.g, the line of lattice-pts (x, y) with $x+y = 6$ has no valid points. Hence $s_6 = s_5$. More generally, n odd $\Rightarrow s_n = s_{n+1}$. Of course, our (3.2) implies both of the circled stmts.

Counting valid pts. Fix an odd $n = 2k - 1$, and consider those $(x, y) \in \mathbb{N} \times \mathbb{N}$ with $x+y = n$. Certainly x is even IFF y is odd, so precisely k of those points are valid. It follows that \vec{s} comprises the partial sums of sequence $(0, 1, 0, 2, \dots)$, as n takes on values $0, 1, 2, 3, \dots$. So we have again derived (3.2). ♦

3rd Soln. Back to GFs!

The partial-fraction decom of RhS(3.4) is

$$\frac{-1}{16} \cdot \left[\frac{1}{1-x} + \frac{1}{1+x} + \frac{4}{[1-x]^3} + \frac{2}{[1+x]^2} \right].$$

This looks arduous to do directly, so let’s finesse things. The “ $1 - x$ ” and “ $1 + x$ ” will cause even/odd index terms to behave differently, so let’s separate them. Write $\sum_{n=0}^{\infty} s_n x^n := Q(x) = \varepsilon(x) + \delta(x)$, where

$$\varepsilon(x) := \sum_{n \text{ even}} s_n x^n \quad \text{and} \quad \delta(x) := \sum_{n \text{ odd}} s_n x^n.$$

Now $2\varepsilon(x) = Q(x) + Q(-x)$, which equals

$$\ddagger: \quad \frac{1}{[1-x^2]^2} \cdot \left[\frac{x}{1-x} + \frac{-x}{1+x} \right] = \frac{2x^2}{[1-x^2]^3}.$$

So $\varepsilon(x) = f(x^2)$, where $f(y) := \frac{y}{[1-y]^3}$. By (1.3),

$$f(y) = y \cdot \sum_{i=0}^{\infty} \binom{i+2}{2} y^i \stackrel{\text{note}}{=} \sum_{k=1}^{\infty} \tau_k \cdot y^k,$$

by setting $k = i+1$. And $\tau_0 = 0$, so

$$\varepsilon(x) = \sum_{k=0}^{\infty} \tau_k \cdot x^{2k};$$

this justifies the even-indexed terms in (3.2).

For the odd-index terms, $2\delta(x) = Q(x) - Q(-x)$ which equals

$$\ddagger: \quad \frac{1}{[1-x^2]^2} \cdot \left[\frac{x}{1-x} - \frac{-x}{1+x} \right] = \frac{2x}{[1-x^2]^3}.$$

Comparing with (\ddagger) , then, $x \cdot \delta(x) = \varepsilon(x)$. I.e, when n is an even index, then $s_{n-1} = s_n$. Hence (3.2). ♦

4.1: #44^P176. From n people, select a committee of oddly many. From the committee, select a council of evenly many [allowing the value zero]. Get a closed-formula for r_n , the number of ways of doing this. \diamond

Prelim. View this as splitting the people into

- Group E, the council with evenly many.
- Group D with oddly many, where the committee is $E \sqcup D$.
- Group A, with arbitrarily many; those that remain.

While superficially similar to #43^P176, the lack of ordering makes a difference. A seat-of-the-pants growth estimate is

$$4.2: \quad 3^n \geq r_n \geq 2^{n-1} - 1.$$

The first follows by removing the even/odd restrictions, so each elt of $[1..n]$ admits 3 colors.

The lower bnd holds for $n=0$; what about $n \geq 1$? Well, $[1..n]$ has 2^{n-1} even-cardinality subsets. Hence there are at least $[2^{n-1} - 1]$ even subsets that are not all of $[1..n]$; and so we can pick one element of the complement to make a singleton D. \square

Soln. With $R(x) \xleftrightarrow{\text{EGF}} \vec{r}$, we wish to define EGFs so that $R(x) = E(x)D(x)A(x)$. So $E(x) \xleftrightarrow{\text{EGF}} \vec{e}$, where e_k is the number of ways making an even-sized council using all k people. I.e, $\vec{e} = (1, 0, 1, 0, \dots)$. Thus

$$E(x) = \sum_{k \text{ even}} \frac{x^k}{k!} = \frac{1}{2}[e^x + e^{-x}]. \quad \text{Similarly,}$$

$$D(x) = \sum_{k \text{ odd}} \frac{x^k}{k!} = \frac{1}{2}[e^x - e^{-x}].$$

So $E(x)D(x) = \frac{1}{4}[e^{2x} - e^{-2x}]$. Since $A(x) = e^x$, our $R(x)$ is $\frac{1}{4}[e^{3x} - e^{-x}]$. Thus for each natnum n ,

$$4.3: \quad r_n = \frac{1}{4} \cdot [3^n - [-1]^n].$$

BTWay, $3^n - [-1]^n \equiv_4 [-1]^n - [-1]^n = 0$, as it must. \diamond

4.4: Rem. Curiously, (4.3) is a sum of exponentials, thus satisfies a 2-term linear recurrence. The two bases, 3 and -1, are roots of the polynomial

$$f(x) := [x - 3][x + 1] \stackrel{\text{note}}{=} x^2 - 2x - 3.$$

Each base $b \in \{3, -1\}$ satisfies $f(b)=0$, i.e $b^2 = 2b + 3$, and thus $b^{n+2} = 2b^{n+1} + 3b^n$, for each n . Consequently, \vec{r} satisfies recurrence

$$4.5: \quad r_{n+2} = 2r_{n+1} + 3r_n.$$

Exer: Find a bijective proof of (4.5). \square

5.1: #38^P176. Let g_n be the number of ways of selecting a permutation of $[1..n]$, then marking a particular cycle in the permutation. Obtain a formula for g_n . \diamond

Rem. Bona's **Ex8.25^P171** asked how many ways to seat n people around circular tables. The answer was $n!$ [reminding us of the Canonical cycle notation], derived from **Thm8.24^P171**. Equivalent, is to use **Thm8.28^P172** but with the outer seq trivial, the constant-1 sequence, because given j cycles, there is only one way to take all of them.

In the current problem, our outer sequence is $(0, 1, 2, \dots)$, since there are j ways to pick one cycle from j . \square

Soln. With $c_0 := 0$, henceforth let c_k be the number of cyclic-permutations of a k -set; so $c_k = [k-1]!$. The EGF of \vec{c} is thus

$$C(x) := \sum_{k=1}^{\infty} \frac{[k-1]!}{k!} x^k = \sum_{k=1}^{\infty} \frac{1}{k} \cdot x^k = \log\left(\frac{1}{1-x}\right),$$

by (1.4). The EGF of picking one object from j is

$$B(x) := \sum_{j=1}^{\infty} \frac{j}{j!} \cdot x^j \stackrel{\text{note}}{=} x \cdot e^x.$$

Thm8.28^P172 now says \vec{g} has EGF

$$B(C(x)) = \log\left(\frac{1}{1-x}\right) \cdot \frac{1}{1-x} \stackrel{\text{OGF}}{\longleftrightarrow} (0, 1, \frac{1}{2}, \frac{1}{3}, \dots) \otimes (1, 1, \dots).$$

The partial-sum seq of $(0, 1, \frac{1}{2}, \frac{1}{3}, \dots)$ is the harmonic number seq, $(\mathcal{H}_0, \mathcal{H}_1, \mathcal{H}_2, \dots)$, where

$$5.2: \quad \mathcal{H}_n := \sum_{\ell=1}^n 1/\ell.$$

Consequently,

$$5.3: \quad g_n = n! \cdot \mathcal{H}_n. \quad \blacklozenge$$

Evidence? Let's compute g_3 by hand.

- i: From three 1-cycles, pick one; **3** choices.
- ii: There are $\binom{3}{1} = 3$ ways to split into a 2-cycle and a 1-cycle. For each, we have 2 ways to select a cycle, giving $3 \cdot 2 = 6$ choices.
- iii: We can just have a single 3-cycle, and we must choose it. This gives **1** choice.

Thus $g_3 = 3+6+1 = 10$. We now use (5.3) to reassure ourselves, by computing $3! \cdot [1 + \frac{1}{2} + \frac{1}{3}] = 6 + 3 + 2$, which equals... *Eleven?!* *Oh no! -Mathematics is inconsistent! -oh, woe is me, oh...*

Wait a darn minute!; n objects can have many different cyclic permutations. For cycles of length 1 or 2, the cyclic ordering is unique. But in case (iii), above, there are 2 cyclic permutations of three objects. So my computation *should* have been: $g_3 = 3 + 6 + 2$, which -whew!- indeed equals eleven. \square

6.1: #23^P176. Find a formula for a_n , where $a_0 := 1$ and $a_{n+1} = 3a_n + 2^n$. \diamond

Soln. Set $A(x) \xrightarrow{\text{OGF}} \vec{a}$. Multiply the recurrence by x^{n+1} and sum, to get that $A(x) - 1 = A(x) - a_0$ equals $3xA(x) + x \cdot \sum_{n=0}^{\infty} [2x]^n$. Hence

$$[1 - 3x] \cdot A(x) = 1 + \frac{x}{1 - 2x} \stackrel{\text{note}}{=} \frac{1 - x}{1 - 2x}.$$

So

$$\begin{aligned} A(x) &= \frac{1 - x}{[1 - 3x][1 - 2x]} = \frac{2}{[1 - 3x]} - \frac{1}{[1 - 2x]} \\ &= \sum_{n=0}^{\infty} [[2 \cdot 3^n] - 2^n] x^n. \end{aligned}$$

Hence $a_n = [2 \cdot 3^n] - 2^n$, for each natnum n . \diamond

7.1: #14^P176 (Books). Let t_n be the number of ways of placing n books, some on shelf A, some on shelf B, with at least one book on each shelf. Obtain a “closed formula” for t_n . \diamond

Soln. Since the books can be split *arbitrarily* between the two shelves, we’d like to take a product of EGFs.

Let $A(x)$ be the EGF of seq $(0, 1!, 2!, \dots)$, arranging books [at least one] on shelf A; so

$$A(x) = \sum_{j=1}^{\infty} \frac{j!}{j!} x^j \stackrel{\text{note}}{=} \frac{x}{1 - x}.$$

Similarly, the EGF of arranging books on shelf B is $B(x) = \frac{x}{1-x}$. With $T(x)$ the EGF of \vec{t} , then,

$$\begin{aligned} T(x) &= A(x)B(x) = x^2 \cdot \frac{1}{[1-x]^2} = x^2 \cdot \sum_{\ell=0}^{\infty} \binom{\ell+1}{1} x^\ell \\ &= \sum_{n=2}^{\infty} [n-1] x^n. \end{aligned}$$

For $n \geq 2$, then, $t_n/n! = n-1$. Consequently,

7.2: $t_0 = t_1 = 0$, and then $t_n = n! \cdot [n-1]$. \diamond

7.3: *Remark.* Now we have (7.2), we can see a direct argument. Pick one of $n!$ orderings of all the books, then put a separator at any one of the $n-1$ junctures between adjacent books. Those on the separator’s left, go on shelf A. \square

The partition function. Let $\varphi(n)$ be the number of partitions of integer n . E.g, the five ptns of 4 are $1+1+1+1, 1+1+2, 1+3, 2+2, 4$; so $\varphi(4) = 5$. For n negative, $\varphi(n) = 0$. And $\varphi(0) = 1$. For the partition $1+1+3+4$ of nine, the summands $1, 1, 3, 4$ are called the **parts** of the partition.

Recall from class [or pages 98–101 of Bona] the **Ferrers diagram** of a ptn, and the **conjugate** (I also call it the **transpose**) of a partition.

Interpret picking the k^{th} -term from sum

$$1 + x^3 + [x^3]^2 + [x^3]^3 + \dots + [x^3]^k + \dots \stackrel{\text{note}}{=} \frac{1}{1 - x^3},$$

as having k copies of the part 3. Consequently,

$$8a: \quad P(x) := \prod_{j=1}^{\infty} \frac{1}{1 - x^j}$$

is the OGF of $[n \mapsto \varphi(n)]$. More generally, fix a subset $S \subset \mathbb{Z}_+$ and let $\varphi_S(n)$ be the number of ptns of n using only parts from S . Then

$$8b: \quad P_S(x) := \prod_{j \in S} \frac{1}{1 - x^j} \stackrel{\text{OGF}}{\longleftrightarrow} [n \mapsto \varphi_S(n)].$$

9.1: #10^P:174 (LargestPart=4). Let b_n be the number of n -partitions whose largest part is 4. Compute the OGF, $B(x)$, of \vec{b} . \diamond

Soln. Picking only size-4 parts, and at least one such, has OGF $[x^4 + x^8 + x^{12} + \dots]$, which is $\frac{x^4}{1-x^4}$. Hence

$$B(x) = x^4 \cdot \prod_{k=1}^4 \frac{1}{1 - x^k}. \quad \diamond$$

10.1: #11^P:105 (Equal-largest). Let e_n be the number of n -ptns whose two largest parts are equal. [So $e_1 = 0, e_2 = 1, e_3 = 1, e_4 = 2$.] Prove that

$$*: \quad \forall n \in \mathbb{Z}_+: \quad e_n = \varphi(n) - \varphi(n-1). \quad \diamond$$

Soln. [Rather than the injection argument of **Thm 5.20^P:101**, let's use GFs.] Since $\varphi(-1) = 0$, the OGF of $[n \mapsto \varphi(n-1)]$ is

$$C(x) := \sum_{n=1}^{\infty} \varphi(n-1) \cdot x^n \stackrel{\text{note}}{=} x \cdot P(x).$$

Courtesy (8a), then,

$$P(x) - C(x) = [1 - x] \cdot P(x) = \prod_{j=2}^{\infty} \frac{1}{1 - x^j}.$$

By (8b), this is $P_S(x)$ where $S := [2.. \infty)$. And the transpose of an S -ptn is a ptn that either has *no* parts [i.e, $n = 0$] or its largest two parts are equal. Defining $e_0 := 1$, then, we've shown that $P(x) - C(x)$ equals the OGF of \vec{e} . Hence (*). \diamond

11.1: #40^P176 (Derangements). Let d_n be the number of derangements of $[1..n]$. Compute $D(x)$, the EGF of \vec{d} . \diamond

Defn. A **derangement** of a set, is a fixed-point-free permutation of the set. So the above \vec{d} has $d_0 = 1$, $d_1 = 0$, $d_2 = 1$ and $d_3 = 2$. \square

Soln. A permutation is a derangement IFF each cycle has length ≥ 2 . Set $c_0 = c_1 = 0$ and, for $k \geq 2$, let c_k be the number of cyclic-permutations of a k -set; so $c_k = [k-1]!$. The EGF of \vec{c} is thus

$$C(x) := \sum_{k=2}^{\infty} \frac{[k-1]!}{k!} x^k = \left[\sum_{k=1}^{\infty} \frac{1}{k} \cdot x^k \right] - x.$$

By (1.4), then, $C(x) = \log\left(\frac{1}{1-x}\right) - x$. Our Exponential Thm [Thm 8.24^P171] now implies that

11.2: $D(x) = e^{C(x)} = \frac{1}{1-x} \cdot e^{-x}$. \diamond

11.3: #41^P176 (More derangements). For $n \in \mathbb{Z}_+$, prove that

11.4: $d_n - [n \cdot d_{n-1}] = [-1]^n$. \diamond

Soln. Set $b_k := k \cdot d_{k-1}$; so $b_0 := 0$. Set $a_n := [-1]^n$. Let $B(x)$ and $A(x)$ be the EGFs of \vec{b} and \vec{a} . Thus (11.4) will follow from

11.5: $D(x) - B(x) \stackrel{?}{=} A(x)$.

Computing. So $A(x) = \sum_{n=0}^{\infty} \frac{[-1]^n}{n!} x^n = e^{-x}$. And

$$\begin{aligned} B(x) &= \sum_{k=1}^{\infty} \frac{k \cdot d_{k-1}}{k!} x^k \stackrel{\text{note}}{=} x \cdot \sum_{n=0}^{\infty} \frac{d_n}{n!} x^n \\ &= x \cdot D(x). \end{aligned}$$

Thus $D(x) - B(x)$ equals $[1-x]D(x)$ which, courtesy (11.2), equals e^{-x} . \diamond

12.1: Ex 8.26^P172. Let t_n be the number of partitions of an n -set into atoms, each of cardinality 3. Get a closed formula for t_n . \diamond

Rem. When $n \not\equiv 3$, then $t_n = 0$. With $T(x) \stackrel{\text{EGF}}{\longleftrightarrow} \vec{t}$, then,

*:
$$T(x) = \sum_{k=0}^{\infty} \frac{c_k}{[3k]!} \cdot x^{3k},$$

where $c_k := t_{3k}$. \square

1st Soln. The neat soln in Bona's text: Let b_n be the number of ptns of an n -set, using a single atom of cardinality 3. So $b_3 = 1$, and every other b_n is zero. Thus $\vec{b} \stackrel{\text{EGF}}{\longleftrightarrow} x^3/3! =: B(x)$. So our Thm 8.24^P171 says $T(x)$ equals

$$e^{B(x)} \stackrel{\text{note}}{=} \sum_{k=0}^{\infty} \frac{1}{k!} \cdot B(x)^k = \sum_{k=0}^{\infty} \frac{1}{k!} \cdot \frac{x^{3k}}{[3!]^k}.$$

Equating terms with (*) yields

12.2: $c_k = \frac{[3k]!}{k! \cdot [3!]^k}$. \diamond

Amusingly, it is not even evident that the RhS is an integer...

"Bare hands" Soln. For a $k > 0$, consider a valid ptn of $[1..n]$, where $n := 3k$. For the other two members of the atom owning n , there are $\binom{n-1}{2}$ choices. Consequently,

$$c_k = \binom{n-1}{2} \cdot c_{k-1}.$$

Since $c_0 = 1$, iterating gives a product of k terms,

$$c_k = \binom{n-1}{2} \cdot \binom{n-4}{2} \cdot \binom{n-7}{2} \cdots \binom{5}{2} \cdot \binom{2}{2}.$$

So $[2!]^k \cdot c_k$ equals


$$\begin{aligned} &1 \cdot [n-1] \cdot [n-2] \cdot 1 \cdot [n-4] \cdot [n-5] \cdot 1 \cdot [n-7] \cdot [n-8] \\ &\cdots 1 \cdot 5 \cdot 4 \cdot 1 \cdot 2 \cdot 1, \end{aligned}$$

where I have put an italic-1 in front of each group. Replacing these 1s successively by $n, n-3, n-6, \dots, 6, 3$ multiplies this product by $3^k \cdot k!$, and thus:

$$3^k \cdot k! \cdot [2!]^k \cdot c_k = n! \stackrel{\text{note}}{=} [3k]!.$$

Since 3^k times $[2!]^k$ is $[3!]^k$, we can rewrite this as

$$k! \cdot [3!]^k \cdot c_k = [3k]!.$$

Solving for c_k now gives (12.2). But, *Oy!*, this was so much more work. . . 

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